Signature





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PTO/SB/05 (1/98) Approved for use through 09/30/2000. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No. 0819-337

First Inventor or Application Identifier: Masahiro KUME et al.

Title: SEMICONDUCTOR LASER DEVICE, OPTICAL DISK APPARATUS AND OPTICAL INTEGRATED UNIT (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. APPLICATION ELEMENTS Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. [X] Fee Transmittal Form (e.g., PTO/SB/17) 6. [ ] Microfiche Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) 2. [X] Specification Total Pages [79] 7. Nucleotide and/or Amino Acid Sequence Submission (preferred arrangement set forth below) (if applicable, all necessary) - Descriptive title of the Invention a. [ ] Computer Readable Copy - Cross References to Related Applications b. [ ] Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D c. [ ] Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS Detailed Description - Claim(s) 8. [X] Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 9. [ ] 37 CFR 3.73(b) Statement [ ] Power of Attorney 3. [X] Drawing(s) (35 USC 113) Total Sheets [12] (when there is an assignee) 4. [X] Oath or Declaration Total Pages [3] 10. [ ] English Translation Document (if applicable) a. [X] Newly executed (original or copy) 11. [X] Information Disclosure Statement [X] Copies of IDS b. [ ] Copy from a prior application (37 CFR 1.63(d)) (IDS)/PTO-1449 Citations (for continuation/divisional with Box 17 completed) 12. [] Preliminary Amendment [Note Box 5 below] 13. [] Return Receipt Postcard (MPEP 503) i. [ ] DELETION OF INVENTOR(S) (Should be specifically itemized) Signed statement attached deleting 14. [ ] \*Small Entity [] Statement filed in prior application, inventor(s) named in the prior application, Statement(s) Status still proper and desired see 37 CFR 1.63(d)(2) and 1.33(b). (PTO/SB/09-12) 5. [ ] Incorporation By Reference (useable if Box 4b is checked) 15. [X] Certified Copies of Japanese Priority Document The entire disclosure of the prior application, from which a Nos. 11-038307, 11-064464, 11-176445 copy of the oath or declaration is supplied under Box 4b, Filed: 2/17/99, 3/11/99, 6/23/99 is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. \*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: [ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No. Prior application information: Examiner: Group/Art Unit: 18. CORRESPONDENCE ADDRESS [X] Customer Number or Bar Code Label Customer No. 22204 or IXI Correspondence address below (Insert Customer No. or Attach bar code label here) Name: Eric J. Robinson Firm: NIXON PEABODY LLP Address: 8180 Greensboro Drive, Suite 800 McLean State: VA Zip Code: 22102 City: Country: U.S.A. Telephone (703) 790-9110 FAX (703) 883-0370 Name: Eric J. Robinson Registration No. 38,285

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Date: February 15, 2000





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Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small En	tity First Na	First Named Inventor			Masahiro KUME et al.					
payments <u>must</u> be supported by a small entity statemer otherwise large entity fees must be paid. See Forms PTO/SB/09-12.	· H	Examiner Name		- ···						
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TOTAL AMOUNT OF PAYMENT \$2,482.00	Attorney	Docket Number	ər	0819-337						
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[ X] The Commissioner is hereby authorized to charge	ge indicated fees and	Fe Co		Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC				130 50	205 227	65 25	Surcharge-late filing fee Surcharge-late provision fee or cover sheet			
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Typed or Printed Eric J. Robinson Name	Reg. Number 38,285		5							
Signature				Date	Februa 2000	ry 15,	Deposit Account User ID	19-2380		

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